

MBE/WBE COMPLIANCE PLAN

All applicable sections must be completed and submitted by the due date and time as indicated in the solicitation documents.

The Bidder/Respondent by submitting and signing this solicitation's 0300 form, understand and agree that the MBE/WBE Compliance Plan submitted as a part of the bid/proposal shall become a part of the contract with the City of Austin. The Bidder/Respondent further understand that the City of Austin's Minority-owned and Women-owned Business Enterprise Procurement Program Ordinance) and the Small and Minority Business Resources Department (SMBR) Rules shall apply.

Section I — Project Identification and Goals

Project Name	North Tarrytown WWW Pipeline Renewal
Solicitation Number	IFB 6100 CLMC838

Project Goals or Subgoals		
Combined MBE/WBE		%
MBE		%
African American	2.04	%
Hispanic	6.57	%
Asian/Native American	0.47	%
WBE	1.39	%

Section II — Bidder Company Information

Company Name	Santa Clara Construction, Ltd.		
Address	9811 Anderson Mill Road, Suite 201		
City, State Zip	Austin, Texas 78750		
Phone	512-250-8310		
Fax	512-250-8371	E-Mail	carmen.gasca@sccaustin.com
Name of Contact Person	Michael S. Heyl		
Is your company registered on Vendor Connection?	Yes <input checked="" type="checkbox"/> If yes, provide Vendor Code <u>SAN8316404</u> No <input type="checkbox"/> All vendors; Subconsultants and consultants must register with COA's Vendor Connect prior to award. See Link for registration information at www.austintexas.gov/vendor_registration		
Is your company COA M/WBE certified?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> (If yes, please indicate type below) 1. MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> MBE/WBE <input type="checkbox"/> Joint Venture <input type="checkbox"/> 2. AA <input type="checkbox"/> H <input checked="" type="checkbox"/> A/NA <input type="checkbox"/> WBE <input type="checkbox"/>		

City of Austin SMBR Use Only

I have reviewed this Compliance Plan and found that the Bidder **HAS** ☐ **HAS NOT** ☐ complied as per the City Code Chapter 2-9A through GFE.

Reviewing Counselor _____

Date _____

I have reviewed this Compliance Plan and have found the Bidder **COMPLIANT** ☐ **NON-COMPLIANT** ☐

Director / Assistant Director _____

Date _____

Section III — MBE/WBE Compliance Plan Summary

Directions:

- For each subcontractor listed in Sections IV, V, VI or VII, fill in all blanks (if applicable).
- For project participation numbers use an EXACT number.
- Goal percentages should be based on the Base Bid amount only. Allowances are not included.
- Alternates are not recorded on this MBE/WBE Compliance Plan.
- If bidder is a certified MBE/WBE, include participation details in the Bidder box ONLY.
- MBE/WBE Compliance Plans not complying with these requirements shall be rejected as non-responsive.

Is the stated project goal of the solicitation met? (If no, attach documentation of Good Faith Efforts) Yes ☒ No ☐

PROPOSED PARTICIPATION GOALS

Use this section to calculate participation.

Include all details including the total dollar amount and percentage for each category where applicable.

MBE/WBE Project Goal		Bidder Participation Goal	
African American	2.04 %	99,154.00 \$	2.05 %
Hispanic	6.57 %	0.00 \$	0.00 %
Asian/Native American	0.47 %	24,678.00 \$	0.51 %
WBE	1.39 %	67,854.00 \$	1.40 %
MBE	%	\$	%
MBE/WBE Combined	%	1,410,127.37 \$	29.22 %
Non-Certified		1,410,127.37 \$	29.22 %
Total Subcontractor Amount		1,601,713.37 \$	33.19 %
Bidder's Own Participation (less any subcontracted amount)			
Are you counting your own participation toward the goals? (if yes, indicate below)		3,223,599.63 \$	66.81 %
<input type="checkbox"/> AA <input checked="" type="checkbox"/> HIS <input type="checkbox"/> A/NA <input type="checkbox"/> WBE <input type="checkbox"/> MBE			

Base Bid Amount (Subs + Bidder amount)

\$ 4,825,113.00 100 %

For SMBR Use Only:

Verified participation for each category:

African-American _____ % Hispanic _____ % Asian/Native American _____ % WBE _____ %

MBE _____ % WBE _____ % Combined MBE/WBE _____ %

Prime _____ % Non-Certified _____ %

Section IV — Disclosure of MBE and WBE Subcontractors (Duplicate as Needed)

Note:

- Fill in all the blanks (use "none" or "N/A" where appropriate).
- Fill in names of MBE/WBE certified Firms as registered with City of Austin Vendor Connection.
- Select either MBE or WBE for dually certified firms to indicate which certification will count towards the MBE or WBE goal.
- List only the scopes of work that you intend the subcontractor to perform. Do not list all scopes a subcontractor can perform.
- Contact SMBR to request an availability list of certified Firms for additional scopes of work that were not included on the original availability list.

Name of MBE/WBE Certified Firm	EARTHCO LANDSCAPE CONSTRUCTION, LLC		
City of Austin Certification Data	<input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/ Ethnicity: M/AA		
City Of Austin Vendor Code	V917830		
Address/ City / State / Zip	4500 Williams Dr. Suite 212-108, Georgetown TX 78633		
Contact Person & Phone	Heath Williamson 512-818-7015		
Fax & Email Address	512-943-0238 earthcolc@aol.com		
Commodity Codes	65834		
Commodity Codes Descriptions	Pipe		
Amount of Subcontract	\$ 99,154.00		2.05 %

Name of MBE/WBE Certified Firm	BENQWEST, LLC.		
City of Austin Certification Data	<input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/ Ethnicity:M/A		
City Of Austin Vendor Code	BEN8308480		
Address/ City / State / Zip	2300 E. Ben White Blvd., Austin, TX 78741		
Contact Person & Phone #	Korim Ahmed	512-565-1048	
Fax & Email Address	512-257-0443	benqwest@yahoo.com	
Commodity Codes	40509		
Commodity Codes Descriptions	Diesel		
Amount of Subcontract	\$24,678.00	0.51	%

Name of MBE/WBE Certified Firm	S&R INVESTMENTS INC dba ARROW OIL		
City of Austin Certification Data	<input type="checkbox"/> MBE	<input checked="" type="checkbox"/> WBE	Gender/ Ethnicity:F/C
City Of Austin Vendor Code	VC0000102094		
Address/ City / State / Zip	PO BOX 5248, Austin, Texas 78763		
Contact Person & Phone #	Sue Watson	512-415-5442	
Fax & Email Address	512-371-3225	dsueewatson@gmail.com	
Commodity Codes	4050935		
Commodity Codes Descriptions	Diesel		
Amount of Subcontract	\$ 67,754.00		1.40 %

Name of MBE/WBE Certified Firm			
City of Austin Certification Data	<input type="checkbox"/> MBE	<input type="checkbox"/> WBE	Gender/ Ethnicity:
City Of Austin Vendor Code			
Address/ City / State / Zip			
Contact Person & Phone #			
Fax & Email Address			
Commodity Codes			
Commodity Codes Descriptions			
Amount of Subcontract	\$		%

Section V — Disclosure of Non-Certified Subcontractors (Duplicate as Needed)

Note:

- Fill in all the blanks (use “none” or “N/A” where appropriate).
- MBE/WBE Compliance Plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Non-Certified Subcontractors as registered with the City of Austin.
- List only the scopes of work that you intend the subcontractor to perform. Do not list all scopes a subcontractor can perform.

Are Goals Met? Yes ☒ No ☐ If no, state reason(s) below and attach documentation:

Name of Non-Certified Subcontractor	WOLSELEY INVESTMENTS		
City Of Austin Vendor Code	V00000964029		
Address/ City / State / Zip	2551 N Mays St., Round Rock, Texas 78665		
Contact Person & Phone #	Corey Freeman 512-591-3897		
Fax & Email Address	512-930-2388 Corey.Freeman@Ferguson.com		
Commodity Codes	65860 67082,8903053,65846,6599729		
Commodity Codes Descriptions	PVC Pipe, Valves, Manholes, DI Pipe & Fittings		
Amount of Subcontract	\$335,334.69	6.9	%
Reason Certified Firm not used	Goals were met		
Name of Non-Certified Subcontractor	ACT PIPE & SUPPLY		
City Of Austin Vendor Code	ACT7090725		
Address/ City / State / Zip	1400 Grand Avenue Parkway, Pflugerville, Texas 78664		
Contact Person & Phone #	Stuart Davis 512-252-7030		
Fax & Email Address	512-252-7026 studavis@actpipe.com		
Commodity Codes	65860 67082,8903053,65846,6599729		
Commodity Codes Descriptions	PVC Pipe, Valves, Manholes, DI Pipe & Fittings		
Amount of Subcontract	\$ 335,334.69	6.9	%
Reason Certified Firm not used	Goals were met		
Name of Non-Certified Subcontractor	G & R SURVEYING, LLC.C303:I309		
City Of Austin Vendor Code	GR8303229		
Address/ City / State / Zip	1805 Ouida Dr., Austin, Texas 78728		
Contact Person & Phone #	Rick Stapleton 512-267-7430		
Fax & Email Address	512-836-8385 dkirk@grsurveying.com		
Commodity Codes	96877		
Commodity Codes Descriptions	Surveying		
Amount of Subcontract	\$19,261.50	0.40	%
First-Level Subcontractor			
Reason Certified Firm not used	Goals were met		
Name of Non-Certified Subcontractor	ENVIRONMENTAL ALLIES GP, INC		
City Of Austin Vendor Code	ENV8309700		
Address/ City / State / Zip	9730 Windfern Rd, Houston, Texas 77064		
Contact Person & Phone #	Sheila Christmas 877-559-2225		
Fax & Email Address	schristmas@environmentalallies.com		
Commodity Codes	SWPPP		
Commodity Codes Descriptions			
Amount of Subcontract	\$4,700.00	0.10	%
First-Level Subcontractor			
Reason Certified Firm not used	Goals were met		

Section V — Disclosure of Non-Certified Subcontractors

(Duplicate as Needed)

Note:

- Fill in all the blanks (use “none” or “N/A” where appropriate).
- MBE/WBE Compliance Plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Non-Certified Subcontractors as registered with the City of Austin.
- List only the scopes of work that you intend the subcontractor to perform. Do not list all scopes a subcontractor can perform.

Are Goals Met? Yes ☒ No ☐ If no, state reason(s) below and attach documentation:

Name of Non-Certified Subcontractor	REDI-MIX, LLC (Custom Crete)	
City Of Austin Vendor Code	V00000926753	
Address/ City / State / Zip	4433 Terry O Lane, Austin, Texas 78745	
Contact Person & Phone #	Dalton Etheredge	512-443-5787
Fax & Email Address	210-651-4141	dalton.etheredge@us-concrete.com
Commodity Codes	7507006	
Commodity Codes Descriptions	Concrete. Readv Mix	
Amount of Subcontract	\$ 500.00	0.01 %
Reason Certified Firm not used	Goals were met	
Name of Non-Certified Subcontractor	CENTEX MATERIALS, LLC	
City Of Austin Vendor Code	CEN1058000	
Address/ City / State / Zip	3019 Alvin Devane Blvd., Bldg. 1, Ste 100, Austin, Texas 78741	
Contact Person & Phone #	Ian Bird	512-501-5832
Fax & Email Address	512-444-9809	ibird@centexmaterials.com
Commodity Codes	75070	
Commodity Codes Descriptions	Concrete Material	
Amount of Subcontract	\$500.00	0.01 %
Reason Certified Firm not used	Goals were met	
Name of Non-Certified Subcontractor	TEXAS CONCRETE- A CRH COMPANY	
City Of Austin Vendor Code	VC0000102792	
Address/ City / State / Zip	1320 Arrow Point Dr. # 600, Cedar Park, TX 78613	
Contact Person & Phone #	Raul Gonzalez	512-571-4096
Fax & Email Address		raul.gonzalez@texasconcrete.com
Commodity Codes	75070	
Commodity Codes Descriptions	Concrete	
Amount of Subcontract	\$500.00	0.01 %
First-Level Subcontractor		
Reason Certified Firm not used	Goals were met	
Name of Non-Certified Subcontractor	TRP CONSTRUCTION GROUP, LLC	
City Of Austin Vendor Code	V00000915162	
Address/ City / State / Zip	1127 Old Bastrop Hwy, Austin, Tx 78742	
Contact Person & Phone #	Steve Meuth	512-917-0973
Fax & Email Address	512-990-0336	stevem@trpconstructiongroup.com
Commodity Codes	98176	
Commodity Codes Descriptions	Traffic Control	
Amount of Subcontract	\$ 42,148.49	0.87 %
First-Level Subcontractor		
Reason Certified Firm not used	Goals were met	

Section V — Disclosure of Non-Certified Subcontractors (Duplicate as Needed)

Note:

- Fill in all the blanks (use “none” or “N/A” where appropriate).
- MBE/WBE Compliance Plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Non-Certified Subcontractors as registered with the City of Austin.
- List only the scopes of work that you intend the subcontractor to perform. Do not list all scopes a subcontractor can perform.

Are Goals Met? Yes ☒ No ☐ If no, state reason(s) below and attach documentation:

Name of Non-Certified Subcontractor	ASPHALT INC, LLC dba Lone Star Paving.	
City Of Austin Vendor Code	V00000918925	
Address/ City / State / Zip	11675 Jollyville Rd, Suite 150, Austin Tx 78720	
Contact Person & Phone #	Jim Ramming	512-695-7272
Fax & Email Address	Fax: 512-233-0628	jim@lspaving.com
Commodity Codes	91394	
Commodity Codes Descriptions	Paving	
Amount of Subcontract	\$608,860.00	12.62 %
Reason Certified Firm not used	Goals were met	
Name of Non-Certified Subcontractor	NATIONAL WORKS	
City Of Austin Vendor Code		
Address/ City / State / Zip	1078 San Marcos Hwy, Luling, TX 78648	
Contact Person & Phone #		830-875-2770
Fax & Email Address	830-875-2730	
Commodity Codes		
Commodity Codes Descriptions	Manhole Coatings	
Amount of Subcontract	\$62,988.00	1.31 %
Reason Certified Firm not used	Goals were met	
Name of Non-Certified Subcontractor		
City Of Austin Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity Codes		
Commodity Codes Descriptions		
Amount of Subcontract	\$	%
First-Level Subcontractor		
Reason Certified Firm not used	Goals were met	
Name of Non-Certified Subcontractor		
City Of Austin Vendor Code		
Address/ City / State / Zip		
Contact Person & Phone #		
Fax & Email Address		
Commodity Codes		
Commodity Codes Descriptions		
Amount of Subcontract	\$	%
First-Level Subcontractor		
Reason Certified Firm not used	Goals were met	

Section VI — Disclosure of Second-Level Subcontractors

(Duplicate as Needed)

Note:

- Fill in all the blanks (use “none” or “N/A” where appropriate).
- MBE/WBE Compliance Plans not complying with these requirements shall be rejected as non-responsive.
- Fill in names of Second-Level Subcontractors as registered with the City of Austin.
- List only the scopes of work that you intend the subcontractor to perform. Do not list all scopes a subcontractor can perform.

Name of Second-Level Subcontractor	
City of Austin Certification	<input type="checkbox"/> No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/ Ethnicity:
City Of Austin Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Fax & Email Address	
Commodity Codes	
Commodity Codes Descriptions	
Amount of Subcontract	\$ %
First-Level Subcontractor	
Name of Second-Level Subcontractor	
City of Austin Certification (choose one)	<input type="checkbox"/> No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/ Ethnicity:
City Of Austin Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Fax & Email Address	
Commodity Codes	
Commodity Codes Descriptions	
Amount of Subcontract	\$ %
First-Level Subcontractor	
Name of Second-Level Subcontractor	
City of Austin Certification (choose one)	<input type="checkbox"/> No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/ Ethnicity:
City Of Austin Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Fax & Email Address	
Commodity Codes	
Commodity Codes Descriptions	
Amount of Subcontract	\$ %
First-Level Subcontractor	
First-Level Subcontractor	
Name of Second-Level Subcontractor	
City of Austin Certification (choose one)	<input type="checkbox"/> No <input type="checkbox"/> MBE <input type="checkbox"/> WBE Gender/ Ethnicity:
City Of Austin Vendor Code	
Address/ City / State / Zip	
Contact Person & Phone #	
Fax & Email Address	
Commodity Codes	
Commodity Codes Descriptions	
Amount of Subcontract	\$ %
First-Level Subcontractor	